

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Seiichi MORI, et al.

SERIAL NO: New Application

GAU: Unassigned

FILED: Herewith

EXAMINER: Unassigned

FOR: SEMICONDUCTOR MEMORY WITH NONVOLATILE MEMORY CELL ARRAY AND SEMICONDUCTOR DEVICE WITH NONVOLATILE MEMORY CELL ARRAY AND LOGIC DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were provided in parent application serial no. 09/956,876 filed September 21, 2001 as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLOON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Eckhard H. Kuesters

Registration No. 28,870

Customer Number

22850

Tel. (703) 413-3000

Fax. (703) 413-2220

(OSMMIN 05/03)

I:\ATTY\SN\24\242511\IDS TRANSMITTAL.DOC

Surinder Sachar

Registration No. 34,423

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Seiichi MORI, et al.

SERIAL NO: New Application

GAU: Unassigned

FILED: Herewith

EXAMINER: Unassigned

FOR: SEMICONDUCTOR MEMORY WITH NONVOLATILE MEMORY CELL ARRAY AND SEMICONDUCTOR DEVICE WITH NONVOLATILE MEMORY CELL ARRAY AND LOGIC DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were provided in parent application serial no. 09/956,876 filed September 21, 2001 as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

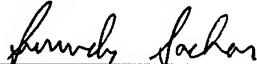
- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Eckhard H. Kuesters

Registration No. 28,870

Customer Number

22850

Tel. (703) 413-3000

Fax. (703) 413-2220

(OSMMN 05/03)

I:\VATTY\SN\\$124's\242511\IDS TRANSMITTAL.DOC

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 242511US2DIV		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT					
		Seiichi MORI, et al.					
		FILING DATE Herewith		GROUP Unassigned			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,295,105	3-15-94	Shigeru ATSUMI			
	AB	5,736,442	4-7-98	Seiichi MORI			
	AC	6,355,526	03-2002	Tomoyuki FURUHATA			
	AD	6,240,021	05-2001	Seiichi MORI			
	AE	6,201,275	03-2001	KAWASAKI, et al.			
	AF	6,060,741	05-2000	Richard J. HUANG			
	AG	5,850,091	12-1998	LI, et al.			
	AH	5,293,512	03-1994	NISHIGOORI, et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AO						
	AP						
	AO						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	H. WATANABE, et al. "Novel 0.44μm ² Ti-Salicides STI Cell Technology for High-Density NOR Flash Memories and High Performance Embedded Application" IEDM Technical Digest, 1998 pp. 975-978					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							